

**MM Ascend Life Investor Services, LLC**

Member FINRA and SIPC

A Registered Investment Advisor

***Business Continuity Information***

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MM Ascend Life Investor Services, LLC (MMALIS) is a direct subsidiary of MassMutual Ascend Life Insurance Company, a MassMutual company. MMALIS is committed to providing exceptional support and service to its clients. MMALIS recognizes the potential of certain uncontrollable events that could cause varying intensities of interruption to its normal business processes. Furthermore, MMALIS acknowledges the responsibility it has to its customers, distribution partners, and associates to continue critical operations during uncontrollable disruptive events.

For that reason, MMALIS has developed and maintains a business continuity plan, including redundant data centers and alternate processing facilities, to address interruptions to its normal course of business. These plans are reviewed annually and updated as necessary.

The plans outline the actions MMALIS will take in the event of a building, city-wide, or regional incident or pandemic. The plan includes provisions for relocating technology and operational personnel to alternate facilities with forwarding of telephones.

In the event of an internal or external significant business disruption, MMALIS's recovery time objective for business resumption is 48 hours.

Although MMALIS is an institutional broker/dealer and does not maintain custody of clients' funds or securities, specific assistance may be obtained via:

Telephone:	(513) 361-9525
Facsimile:	(513) 412-5109
Email:	<a href="mailto:pnrone@mmascend.com">pnrone@mmascend.com</a> or <a href="mailto:skramer3@mmascend.com">skramer3@mmascend.com</a>
Compliance:	Peter Nerone or Scott Kramer
Postal Mail:	MM Ascend Life Investor Services, LLC P. O. Box 5423 Cincinnati, OH 45201-5423

Thank you for choosing to do business with MM Ascend Life Investor Services, LLC